



# Cryptography



The Making and Breaking of Secret  
Codes.



# Need for Cryptography

- Many areas of human endeavor require secret communication.
- Modern methods of communication more open and subject to interception.
  - Telegraph, radio, internet.
- The use is rapidly increasing.
- Electronic commerce requires it.

# Codes & Ciphers

- Convenience codes.
  - Publicly known - no secrecy involved.
  - Morse code - telegram & radio.
  - ASCII code - computer.
  - Zip, area, ...
- Secret codes or ciphers.
  - Today's topic.

# Summary

- Four codes used over time -- and how to break them.
  - Substitution ciphers.
    - Caesar's cipher.
    - Monoalphabetic ciphers.
    - Polyalphabetic ciphers.
  - Computer enabled ciphers.
    - Public key ciphers.

# Caesar's Cipher

- Used in the gallic wars
  - Documented by Suetonius in *Lives of the twelve Caesar's*
  - ABCDEFGHIJKLMNOPQRSTUVWXYZ
  - DEF GHIJKL MNOPQRS TUVWXYZ ABC
  - Help me → KHOS PH
- Algorithm -- simple shift
- Key -- number, the amount of shift

# Breaking the Cipher

- Find the key -- there are 26 possibilities. We can check them one by one until one makes sense.
  - If we know a simple shift code is being used.

# Monoalphabetic Ciphers

- **Example:**

- ABCDEFGHIJKLMNOPQRSTUVWXYZ

- QAZWSXEDCRFVTGBYHNUJMIKOLP

- Help me → DSVY TS

- **Algorithm -- permutation of the alphabet**

- There are  $26!$  --  $4 \times 10^{26}$  possibilities

# Key

- Must be enough information to easily construct the permutation
- Key word -- Rice University
  - ABCDEFGHIJKLMNOPQRSTUVWXYZ
  - RICEUNVSTYZABDFGHJKLMOPQWX
  - Help me → SUAG BU



# Breaking the Cipher

- Frequency analysis
  - Mathematics
- Word and language patterns
  - Linguistics
  - Puzzlers
- Persistence
  - *The Gold Bug* -- Edgar Allan Poe

# Alphabet Frequency (%)

A	8.2	J	0.2	S	6.3
B	1.5	K	0.8	T	9.1
C	2.8	L	4.0	U	2.8
D	4.3	M	2.4	V	1.0
E	12.7	N	6.7	W	2.4
F	2.2	O	7.5	X	0.2
G	2.0	P	1.9	Y	2.0
H	6.1	Q	0.1	Z	0.1
I	7.0	R	6.0		

## Breaking the Cipher (Cont.)

- Frequency analysis invented by middle eastern, Arabian mathematicians in 9<sup>th</sup> century.
- By the end of the 14<sup>th</sup> century “anyone” could easily break monoalphabetic ciphers.

# Polyalphabetic Ciphers

- Leon Battista Alberti - 1460
  - Use two or more cipher alphabets & alternate them
    - ABCDEFGHIJKLMNOPQRSTUVWXYZ
    - QAZWSXEDCRFVTGBYHNUJMIKOLP
    - POLIKUJMNHYTGBVREDXCXSWZAQ
    - Help me → DKVF TK
  - $1.6 \times 10^{53}$  combinations

# Blaise de Vigenere - 1560

- Introduced a convenient keyword
  - Made the use of the algorithm easier
- Use 26 cipher alphabets
  - **ABCDEFGHIJKLMNOPQRSTUVWXYZ**
  - **BCDEFGHIJKLMNOPQRSTUVWXYZA**
  - **CDEFGHIJKLMNOPQRSTUVWXYZAB**
  - **DEFGHIJKLMNOPQRSTUVWXYZABC**
  - **EFGHIJKLMNOPQRSTUVWXYZABCD**
  - **etc**

# Keyword BOZ

- ABCDEFGHIJKLMNOPQRSTUVWXYZ
- BCDEFGHIJKLMNOPQRSTUVWXYZA
- OPQRSTUVWXYZABCDEFGHIJKLMN
- ZABCDEFGHIJKLMNOPQRSTUVWXYZ
- Help me → ISKQ AD
- THE → UVD, HGF, or SIS

# Use of the Vigenere Cipher

- Ignored for about 200 years
- Invention of telegraph made codes more important
  - Messages easy to intercept
  - Greatly increased volume of traffic
- Became known as *le chiffre indechiffable*

# Breaking the Vigenere Cipher

- Charles Babbage
  - Invented an early mechanical computer
  - C. 1854 broke the Vigenere code
  - Did not publish the result
- Frederich Wilhem Kasiski (Prussian)
  - 1863 published the way to break the code



# Breaking the Cipher (cont.)

- Weak point is the keyword
  - Look for repeating patterns in the cipher
    - Using BOZ, THE → UVD, HGF, or SIS
      - How far apart are appearances of same pattern?
    - Allows determination of the length of the keyword
      - Determines the number of cipher alphabets used
- Frequency analysis on each cipher alphabet
- Requires a lot of traffic

# 20<sup>th</sup> Century Ciphers

- Radio (Marconi ~ 1900)
  - Greater ease of communication
  - Greater ease of interception
- Electro-mechanical devices
  - Encryption and decryption can be semi-automated
  - Polyalphabetic ciphers with more alphabets

# The Enigma Machine



(c) 1995, Morton Swimmer

- Invented in 1918 by Arthur Scherbius and Richard Ritter
- Keyboard
- 3 rotors or scramblers
- Reflector
- Output lights
- Plug wiring

# The Enigma Machine (Cont.)

- The use of the rotors and reflector causes it to rotate through a cycle of about 17,000 cipher alphabets.
- Plug wiring changes the cycle.
- Starting position determines which cycle and where in the cycle the message starts.
- Over  $10^{16}$  different starting positions.

# Key

- Determines the starting position
- Two keys used
  - Daily key used only to encrypt a message key
  - Message key unique to each message

# Importance in World War 2

- All countries had similar machines
  - Many were confident it was unbreakable
- Poland & England broke enigma
- USA broke Japanese codes
- One of the keys to Allied victory in WW2
- Battle of the Atlantic
- Battle of Midway

# Cracking Enigma (Poland)

- Polish mathematicians in 1930's
  - Espionage by the French
  - Marian Rejewski
  - Broke Enigma by 1934
    - Noticed patterns in the day key
  - Germans improved the Enigma
  - Gave everything to the Allies 2 weeks before the invasion of Poland



# Methods

- Look for mathematical patterns
- Exploit the known structure of the machine
- Exploit defective practices
  - Use of daily key to encrypt repeated message key





# Cracking Enigma (England)

- Bletchley Park, Alan Turing & ULTRA
  - Continued with the Polish plan
  - Cillies --- obvious message keys
  - Cribs --- routine messages
  - Bombes --- sets of enigma machines
  - Espionage --- find the code books

# Advances in Enigma

- Number of rotors increased to 5 or 6
  - Greatly increased the length of the cycle
- Complexity of the plug wiring increased
  - Increased the number of available cycles
- Elimination of cillies --- use of randomly generated message keys

# Computers and Ciphers

- The ASCII code turns messages into numbers:

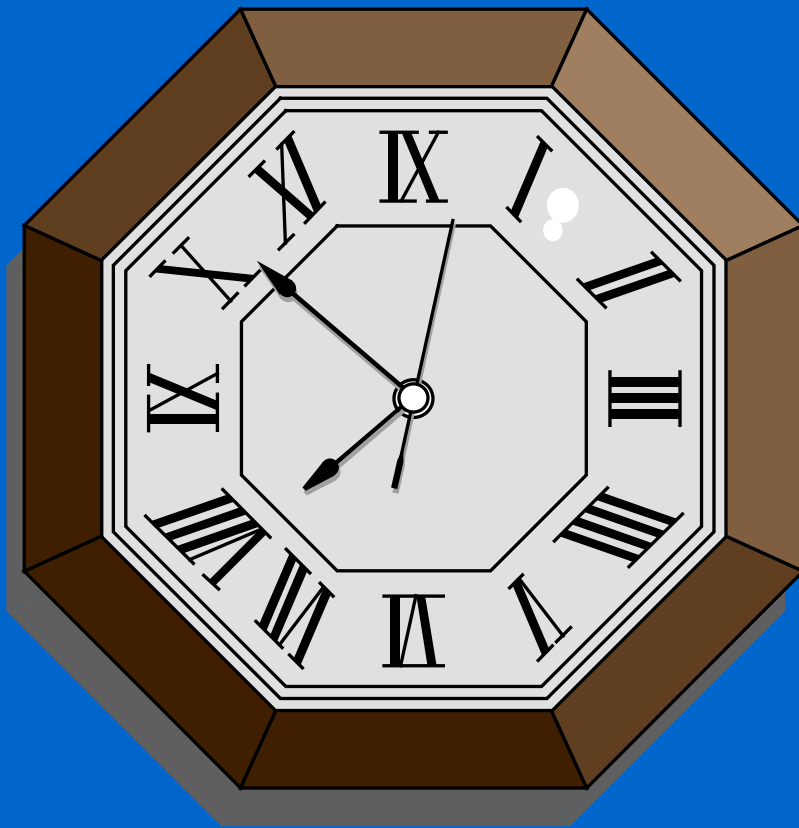
H	e	l	p	!
1001000	1100101	1101100	1110000	0100001

- Help! -->10010001100101110110011100000100001
- = 19,540,949,025
- ASCII code is the computer's alphabet
- A cipher can be any function that is 1-1

# Modular Arithmetic

- $A \bmod(N) =$  remainder when  $A$  is divided by  $N$
- Example:
  - $1 \bmod(3) = 1, 5 \bmod(3) = 2, 9 \bmod(3) = 0$
  - $2 \bmod(3) = 2, 6 \bmod(3) = 0, 10 \bmod(3) = 1$
  - $3 \bmod(3) = 0, 7 \bmod(3) = 1, 11 \bmod(3) = 2$
  - $4 \bmod(3) = 1, 8 \bmod(3) = 2, 12 \bmod(3) = 0$

# Clock Arithmetic



- The clock uses arithmetic mod(12) to measure hours
- It uses arithmetic mod(60) to measure minutes and seconds

# Cipher With Modular Arithmetic

Encryption Algorithm		
M	$M^3$	$M^3 \bmod(11)$
1	1	1
2	8	8
3	27	5
4	64	9
5	125	4
6	216	7
7	343	2
8	512	6
9	729	3
10	1000	10

Decryption Algorithm		
C	$C^7$	$C^7 \bmod(11)$
1	1	1
2	128	7
3	2187	9
4	16384	5
5	78125	3
6	279936	8
7	823543	6
8	2097152	2
9	4782969	4
10	10000000	10

# Data Encryption Standard (DES)

- Originally called Lucifer
  - Invented at IBM by Horst Feistel
  - Adopted by US government in 1975
- There are  $2^{56}$  ( $\sim 10^{17}$ ) possible secret keys
  - Called a 56 bit cipher
- Now out of date
  - Advanced Encryption Standard adopted in 2001

# Public Key Codes

- Encryption algorithm and key are public information
  - Anyone can use it to communicate with the holder of the decryption algorithm
  - This eliminates the need to secretly convey the key
- Decryption key is not public, and is very hard to discover



# The RSA Code

– Ronald Rivest, Adi Shamir & Leonard Adelman -- 1977

- 2 very large primes P & Q (private)
- $N = P \times Q$  & number E (public)
- Message M (a number)
- Encrypt the message with the formula
- $$C = M^E \text{ mod}(N)$$

# Decryption in RSA

- Decrypter knows a secret number  $D$  with
- $E \times D \pmod{(P-1) \times (Q-1)} = 1$
- $C^D \pmod{N} = (M^E)^D \pmod{N}$
- $= M^{ED} \pmod{N}$
- $= M$  (Theorem of Euler)

# Example

- Take  $P = 89,833$  and  $Q = 945,677$  (private)
- $N = P \times Q = 84,953,001,941$  (public)
- $E = 1,080,461$  (public)
- Help!  $\rightarrow 19,540,949,025 = M$
- $C = 19,540,949,025^{1,080,461} \bmod(N)$
- $= 6,499,326,013$

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## Example (Cont.)

- To decode use  $D = 235,877$  (private)
- $C = 6,499,326,013$
- $C^D \bmod(N) = 19,540,949,025$
- $\quad = M$
- $\quad \rightarrow$  Help!

# Breaking RSA (Brute Force)

- Need to find the integer  $D$
- Try all possibilities one by one
- If  $P$  &  $Q$  are large, there are simply too many choices for  $D$ . Say about  $10^{200}$

# Breaking RSA (Factoring)

- The best way is to factor  $N (= P \times Q)$ 
  - In practice both  $P$  &  $Q$  have 100 to 200 digits
    - The code can be made more secure by choosing larger primes
  - $N$  has as many as 400 digits
  - Knowing  $P$ ,  $Q$  &  $E$ , it is easy to find  $D$
- Factoring large numbers is an extremely difficult problem

# Example

- 1977 Martin Gardner posed a challenge
  - Factor a number with 129 digits, and use it to decode a message
  - Many participants
- Done in 1994 by a team of 600 volunteers
- Modern RSA uses  $N$ s with over 200 digits

# Pretty Good Privacy (PGP)

- Phil Zimmermann --- 1991
  - Encryption for the masses
  - Uses a standard encryption method (like DES) for the message
  - Uses RSA only to encrypt the key
- Conflict with US government
  - Eventually everything was settled in favor of personal privacy



# Advanced Encryption Algorithm

- By mid 1990s DES was clearly out of date
- 1997 NIST announced an open competition
  - Many competitors from around the world
  - 15 semi-finalists --- NIST asked for comments
  - 1999 5 finalists
  - Oct. 2000 Rijndael declared the best
  - Nov. 2001 Rijndael adopted as the AES

# Rijndael

- Invented by Joan Daemen and Vincent Rijmen.
- Operates on 128 bit blocks
- Uses modular arithmetic and several polynomial mappings
- Has a 128 bit key
  - Or 192 or 256
- Won on the basis of security, performance, efficiency, implementability, and flexibility

# The future

- Quantum computing
  - New algorithms for factoring numbers very quickly
  - There are at present no quantum computers
  - Area of intense research
- The invention of new algorithms for solving equations is always possible

# National Security Agency (NSA)

- America's Black Chamber
- Largest employer of mathematicians in the world
- Once ultra secret, it is becoming more and more open
- They even run a museum

# Bibliography

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- *The Codebreakers*, by David Kahn, New York: Scribners, 1996 & 1999
- *Cryptography*, by Lawrence Dwight Smith, New York: Dover
- *Alan Turing: The Enigma*, by David Hodges, London: Vintage, 1992

# Web Sites

- The Enigma Machine
  - <http://www.math.arizona.edu/~dsl/enigma.htm>
- Bletchley Park
  - <http://www.cranfield.ac.uk/cc/bpark/>
- RSA Security's Frequently Asked Questions
  - <http://www.rsasecurity.com/rsalabs/>
- The National Security Agency
  - <http://www.nsa.gov/>